

# Julie F. Rizzo

## Partner

Raleigh
+1.919.743.7336

Julie.Rizzo@klgates.com

## OVERVIEW

Julie Rizzo is a partner in the firm's Capital Markets practice group. Julie has substantial experience representing companies in a variety of capital markets and corporate governance matters. She focuses her practice on advising clients on SEC reporting and disclosure issues; stock exchange compliance; and environmental, social, and governance (ESG) matters. She also regularly assists clients on a variety of capital market transactions.

Julie's prior professional experience brings a unique perspective to clients. By spending over six years in an in-house role at a large, New York Stock Exchange listed technology company, Julie has an understanding of the inner workings of corporate legal departments and the need for in-house legal teams to be able to provide business-focused legal advice. Additionally, Julie has gained a deep understanding of the regulatory process after spending five years in the Division of Corporation Finance at the US Securities and Exchange Commission, which allows her to advise clients on disclosure and compliance matters through a sharper, regulatory focused lens.

## PROFESSIONAL BACKGROUND

Prior to joining the firm, Julie served as counsel at a U.S. law firm. Previously, she also worked at a large, publicly traded technology company, the U.S. Securities and Exchange Commission, and a Big Four accounting firm

## ACHIEVEMENTS

- Listed in *The Best Lawyers in America*® in Raleigh, NC, 2023-2026
  - Corporate Governance Law
  - Securities / Capital Markets Law
- Red Hat, Inc.'s General H. Hugh Shelton Chairman's Award Winner, 2018
- US Securities and Exchange Commission's Productivity Improvement Award, presented to the Shareholder Proposal Task Force, 2009

## SPEAKING ENGAGEMENTS

- "Proxy Statement Interactive Seminar Panelist," Donnelley Financial Solutions Corporate Governance Communications Strategies for Shareholder Engagement, Raleigh, N.C., November 2017

## EDUCATION

- J.D., Georgetown University Law Center, 2002 *(The Tax Lawyer Journal, Managing Editor)*
- B.S., Georgetown University, 1998 *(with Magna Cum Laude)*

## ADMISSIONS

- Bar of District of Columbia
- Bar of New York
- Bar of North Carolina

## THOUGHT LEADERSHIP *POWERED BY HUB*

- 22 September 2026, SEC Proposes to Modernize the Proxy Solicitation Rules
- 29 July 2026, California Climate Disclosure Update: CARB's July 2026 Workshop and 2027 Reporting Requirements
- 1 March 2026, California Climate Disclosure Regulations Update: CARB Adopts Rulemaking Providing Additional Clarification on Implementation and Ninth Circuit Stay of SB 261 Enforcement, *Pratt's Energy Law Report*
- February 18, 2026, US Asset Management Regulatory Year in Review 2025
- January 2026, 14th Annual "Under the Wire" CLE Webinar
- 8 December 2025, California Climate Disclosure Regulations Update: CARB Provides Additional Clarifications on Implementation and Ninth Circuit Stay of SB 261 Enforcement
- 24 November 2025, SEC No Longer a "Referee" in the Shareholder Proposal Process
- November 2025, Decoding California Climate Disclosure Requirements - Compliance Requirements in a Shifting Regulatory Landscape
- 26 September 2025, California Posts Preliminary Roster for Landmark Climate Disclosure Mandate
- 29 August 2025, Companies Must Prepare for Complying With California's Climate Disclosure Laws Even Though California Air Resources Board Regulations Are Not Final
- 8 May 2025, SEC Policy Shift and Recent Corp Fin Updates–Part 3

- 28 April 2025, SEC Policy Shift and Recent Corporation Finance Updates–Part 2
- 2 April 2025, SEC Policy Shift and Recent Corp Fin Updates–Part 1
- March 2025, Winds of Change–Global Developments in Sustainability and ESG Regulation
- 26 February 2025, EDGAR Next: The Next Era in Filing
- 11 February 2025, United States: Unsustainable—Acting SEC Chairman Signals Reconsideration of Climate Risk Disclosure Rules
- February 2025, Asset Management Regulatory Year in Review 2024
- March 2024, SEC's Final Climate Rules
- 12 March 2024, Threading the Needle: The US Securities and Exchange Commission's Final Climate-Related Disclosure Rules
- 16 January 2024, New Disclosure Requirements for Your Form 10-K
- 28 September 2023, The Coming Generative AI Revolution: The Impact on Fintechs and Banks
- 7 August 2023, SEC Adopts Final Rules for Cybersecurity Disclosures
- August 2023, SEC's Final Public Company Cybersecurity Disclosure Rules
- June 2023, Generative AI - Legal, Policy, and Ethical Considerations
- 11 January 2023, Preparing for the Annual Reporting Season: Non-GAAP Measures
- 5 April 2022, ESG Insights: Evolving Risk Landscape From Policy to Insurance and Capital Markets
- 28 March 2022, ESG Insights: SEC Proposed Climate-Related Disclosures
- 15 March 2022, SEC's Cybersecurity Risk Management and Incident Disclosure Proposed Rules | What Changed?
- February 2022, Tenth Annual "Under the Wire" CLE Webinar

## OTHER PUBLICATIONS

- "Acquiring an AI Company," *Thomson Reuters Practical Law*, January 2024
- "SEC Continues to Modernize the Disclosure Regime," Society for Corporate Governance, December 2020
- "SEC Modernizes the Exempt Offering Framework, Benefitting Investors and Small and Midsize Companies," Society for Corporate Governance, November 2020
- "Practical Tips for Navigating SEC Comment Letters," Corporate Compliance Insights, February 2020

## NEWS & EVENTS

- 17 July 2024, PLI's The SEC at 90: A Celebration and Retrospective, Hosted by PLI
- 18 August 2022, More Than 350 K&L Gates Lawyers Named Among 2023 Best Lawyers in America, Ones to Watch
- 28 July 2022, K&L Gates Advises Security Matters Limited on Scheme of Arrangement, De-SPAC and Merger With Lionheart III Corp.
- 15 March 2022, SEC's Cybersecurity Risk Management and Incident Disclosure Proposed Rules | What Changes are Proposed?
- 15 March and 28 March 2022, ESG Insights Webinar Series
- 2-3 November 2021, ESG for Renewable Energy Financing, hosted by Infocast Master Class
- 10 August 2021, K&L Gates Enhances Public Companies Practice With Raleigh Partner Addition

## MEDIA MENTIONS

- 1 September 2026, *Responsible Investor* | SEC moves to rescind US shareholder proposal rules
- 1 September 2026, *ESG Dive* | SEC to propose recission of rule governing shareholder proposals: OMB
- 5 January 2026, *Forward Law Review* | US regulatory retrenchment and the shape of 2026

## OTHER MEDIA MENTIONS

- Mentioned, “US regulatory retrenchment and the shape of 2026,” *Forward Law Review*, 5 January 2026
- Mentioned, "K&L Gates' Sean Jones and Julie Rizzo help diverse clientele navigate the ESG chaos," *Wolters Kluwer*, 12 May 2023
- Mentioned, "3 Firms Guide Pair Of Blank-Check IPOs Totaling $160M," *Law360*, 10 February 2023

## AREAS OF FOCUS

- Capital Markets
- Corporate Governance
- Public Companies

## INDUSTRIES

- Technology

## EMERGING ISSUES

- Artificial Intelligence (AI)
- ESG - Sustainability and Responsible Business