

Lisa N. Ju

Counsel

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OVERVIEW

Lisa Ju is counsel in the firm's Asset Management and Investment Funds practice. She focuses her practice on advising investment advisers on the legal and regulatory aspects of their operations.

PROFESSIONAL BACKGROUND

Prior to joining the firm, Lisa served as a director and senior counsel at a global asset management firm where she provided all aspects of legal support relating to the marketing and distribution of private funds, including counseling on marketing and advertising related matters. She has assisted US and non-US investment advisers navigate the laws and regulations governing the offering of investment advisory services and private funds on a cross-border basis, including adviser licensing and fund registration requirements. Lisa also has extensive experience negotiating placement and distribution agreements with respect to privately placed funds. Before that, Lisa was an associate in the investment management group of an international law firm where she advised fund managers on fund formation and regulatory matters.

EDUCATION

- J.D., Fordham University School of Law
- B.S., Cornell University

ADMISSIONS

- Bar of New York
- Bar of North Carolina

THOUGHT LEADERSHIP *POWERED BY HUB*

- 26 September 2024, D, F, G, 3, 4, 5: Firms Charged for Failing to Make Section 13 and 16 Filings

- 10 September 2024, Gee, Have You Thought About Your 13G? (New Reporting Compliance Deadlines Start at Month-End)
- 18 January 2024, US Asset Management Regulatory Year in Review 2023
- 29 March 2023, SEC Proposes Custody Rule Overhaul With Broad Implications for Investment Advisers, Custodians, and Independent Public Accounting Firms

OTHER PUBLICATIONS

- "What To Know As SEC Looks To Expand Private Fund Access," *Law360*, 28 July 2025

AREAS OF FOCUS

- Asset Management and Investment Funds