

Lisa Lautier

Partner

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OVERVIEW

Lisa is a partner in the Asset Management and Investment Funds practice area in Sydney. She has extensive experience advising on investment funds and financial services regulation.

Lisa is a trusted legal adviser who is passionate about providing practical advice with exceptional client service. She enjoys assisting local and offshore clients navigate the complexity of the Australian regulatory regime.

Lisa provides specific advice on:

- Fund formation across a range of asset classes including equities, fixed income, private credit, and direct property;
- Quotation and listing of exchange-traded funds (ETFs);
- Promotion and disclosure obligations for managed investment schemes (registered and unregistered);
- Outsourced trustee arrangements, fund operation, and service agreements related to investment management, custody, and administration;
- Transactional matters involving change of trustee or responsible entity; and
- Financial services regulation in relation to Australian financial services licensing, design and distribution obligations, ESG regulation, ASIC relief, and breach reporting requirements.

PROFESSIONAL BACKGROUND

Prior to joining the firm, Lisa was a partner in the corporate and commercial team at one of the largest legal partnerships in Australia. During her career, she has completed various secondments with well-recognised asset managers in Australia. She also spent four years in London where she worked for a listed Australian fund manager advising on various acquisitions, divestments, and regulatory matters.

ACHIEVEMENTS

- Recognised by *The Legal 500 Asia Pacific* as a Recommended Lawyer for Fintech and Financial Services Regulatory in Australia, 2025-2026

EDUCATION

- B.A., Macquarie University, 2005
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- LL.B., Macquarie University, 2005

ADMISSIONS

- High Court of Australia
- Supreme Court of England and Wales
- Supreme Court of New South Wales

THOUGHT LEADERSHIP *POWERED BY HUB*

- June 2026, ESG and the Sustainable Economy Handbook
- June 2026 , Global Survey of ESG Regulations for Asset Managers
- 22 December 2025, November 2025 ESG Policy Update—Australia
- 11 November 2025, October 2025 ESG Policy Update—Australia
- 10 November 2025, September 2025 ESG Policy Update—Australia
- 16 September 2025, August 2025 ESG Policy Update—Australia
- 12 September 2025, July 2025 ESG Policy Update—Australia
- 23 July 2025, June 2025 ESG Policy Update—Australia
- 25 June 2025, May 2025 ESG Policy Update—Australia
- 16 May 2025, April 2025 ESG Policy Update—Australia
- 7 April 2025, March 2025 ESG Policy Update—Australia
- 20 March 2025, February 2025 ESG Policy Update—Australia
- 20 February 2025, January 2025 ESG Policy Update—Australia
- February 2025, Australian CPD Series 2025

- February 2025, Australian CPD Series 2025: Sustainable Investment Issues
- February 2025, Australian CPD Series 2025
- February 2025, Australian CPD Series 2025: Sustainable Investment Issues
- 23 December 2024, December 2024 ESG Policy Update—Australia
- 11 December 2024, November 2024 ESG Policy Update—Australia
- 28 November 2024, October 2024 ESG Policy Update–Australia
- 3 October 2024, September 2024 ESG Policy Update–Australia
- 12 September 2024, August 2024 ESG Policy Update – Australia
- 11 September 2024, ESG—Australia: Mandatory Climate-Related Financial Disclosures: Legislation Passes Parliament
- 16 August 2024, July 2024 ESG Policy Update—Australia
- 6 August 2024, Australia: Environmental Futures Launch on the ASX
- 2 July 2024, June 2024 ESG Policy Update—Australia
- 24 June 2024, ESG—Australia - Carbon Offsets: Australian Regulatory Framework
- 11 June 2024, ESG—Australia – Climate-Related Financial Disclosures: Updated Bill
- 28 May 2024, May 2024 ESG Policy Update—Australia
- 24 April 2024, April 2024 ESG Policy Update—Australia
- 12 April 2024, Australia: Federal Court Rules on Greenwashing Civil Penalty Action
- 28 March 2024, March 2024 ESG Policy Update—Australia
- 28 March 2024, Update to the Naming Convention for Exchange Traded Products
- 26 March 2024, Australia: Labelling Responsible Investment Products
- 21 March 2024, Australia: ASIC Issues New Legislative Instrument for Exchange Traded Funds
- 27 February 2024, February 2024 ESG Policy Update—Australia
- 6 February 2024, Australia: Climate-Related Financial Disclosure: Exposure Draft Legislation
- 21 December 2023, December 2023 ESG Policy Update—Australia
- 4 December 2023, Australia: ASIC to Focus on Net Zero Statements and Targets
- 29 November 2023, Australia: ASIC is Remaking Exchange Traded Funds Class Order Relief
- 22 November 2023, November 2023 ESG Policy Update–Australia

- 30 October 2023, Australia: Mandatory Climate Reporting–The Draft Australian Standards Are Here
- 27 October 2023, ESG Policy Update–Australia
- 19 October 2023, Australia: ASIC Extends Transitional Relief For Foreign Financial Service Providers
- 19 September 2023, ESG Policy Update–Australia
- 24 April 2023, Australia: Ongoing Regulatory Requirements for Issuers Under the Trans-Tasman Mutual Recognition Scheme

OTHER PUBLICATIONS

- K&L Gates Advises Dimensional Fund Advisors on Three New Equity ETFs on the ASX, *Legal Desire*, August 2024
- Partner reflections after advising on 'dual-access' ETF structure, *Lawyers Weekly*, December 2023

NEWS & EVENTS

- 11 November 2026, 2026 ETF Think Tank®–Sydney
- 7 July 2026, K&L Gates Advises Centuria Capital Group on AU$450 Million Capital Raising and Joint Venture Acquisition
- 6 July 2026, K&L Gates Advises Cromwell Property Group on Brisbane Office Venture
- 21 May 2026, K&L Gates Advises First Sentier Investors on Launch of Third ETF Product
- 27 May 2025, K&L Gates Advises First Sentier Investors on the Launch of First ETF Product
- 27 March 2025, 2025 ETF Think Tank®–Sydney
- 23 January 2025 - 6 February 2025, 2025 ETF Think Tanks®–United States
- 11 February - 20 February 2025, 2025 CPD Program–Australia
- 7 October 2024, K&L Gates Advises Elka Capital on Residential Land Lease Joint Venture With Assembly Funds Management
- 23 August 2024, K&L Gates Advises Dimensional Fund Advisors on Three New Equity ETFs on the ASX
- 20 November 2023, K&L Gates Advises Dimensional Fund Advisors on Launch of First ETFs on ASX
- 7 February - 16 February 2023, 2023 CPD Program–Australia
- 7 December 2022, K&L Gates Strengthens Corporate and Asset Management and Investment Funds Teams With Appointment of Three Partners

OTHER MEDIA MENTIONS

- Mentioned, "K&L Gates Advises Centuria Capital Group on AU$450 Million Capital Raising and Joint Venture Acquisition", *Europe Says*, 7 July 2026
- Quoted, "Foreign entrants have upper hand in Aussie ETF market, analysts say", *Ignites (Australia),* 17 April 2025
- Quoted, "Aussie fund managers underestimating challenges in ETF launches", *Money Management (Australia),* 15 April 2025
- Quoted, "What to know for a first-time ETF listing", *Money Management (Australia)*, 8 April 2025
- Quoted, "Australia's booming ETF market draws in more specialised offerings", *Asian Investor, Press News Agency*, 21 October 2024
- Quoted, "K&L Gates guides Elka Capital through residential land lease joint venture", *The Australasian Lawyer*, 9 October 2024
- Quoted, "K&L Gates guides Dimensional Fund Advisors on new equity ETFs", *Australasian Lawyer,* 29 August 2024

AREAS OF FOCUS

- Asset Management and Investment Funds
- Exchange-Traded Products
- Global Distribution
- Global Financial Services Regulation
- Investment Advisers
- Investment Funds
- Real Estate Funds

REPRESENTATIVE EXPERIENCE

- Acted for Centuria Capital Group on the establishment of the Centuria Sydney CBD Prime Office Fund and its US$450 million capital raising in connection with the acquisition of a 50% interest in World Square.
- Acted for Investa Commercial Property Fund on a capital raising by the stapled group comprising of a pro-rata entitlement offer to existing investors and a targeted offer of securities to new investors.
- Acted for PIMCO on the development and implementation of its governance and regulatory compliance framework to support the launch and ongoing operation of its exchange traded funds (ETFs) quoted on the ASX and TMX Australia, including advice on applicable regulatory requirements and compliance obligations.

- Acted for Dimensional Fund Advisors on the conversion and launch of numerous 'dual-access' ETFs on the ASX.
- Acted for First Sentier Investors on the establishment and launch of numerous ETFs on the ASX.
- Acted for Elka Capital in relation to the establishment of a land lease communities operating platform and its joint venture with Assembly Funds Management to acquire two sites in northern Victoria.
- Acted for a wholesale property fund manager in connection with the retirement and appointment of trustee, including the negotiation and preparation of the deed of retirement and appointment and various deeds of novation, assignment and covenant relating to the fund's leasing arrangements.
- Acted for an ASX-listed entity on the preparation and enhancement of disclosures relating to its ethical investment and ESG processes, together with its regulatory response in connection with industry surveillance of ESG investment and disclosure practices.