

Dorothy Sam

Lawyer

Sydney
+61.2.9513.2509

Dorothy.Sam@klgates.com

OVERVIEW

Dorothy Sam is a lawyer in the Asset Management and Investment Funds practice group. She advises Australian and international clients across the financial services sector in relation to investment management and financial services regulation, including exchange-traded fund (ETF) launches.

PROFESSIONAL BACKGROUND

Dorothy first joined as a Seasonal Clerk and then as part of the firm's graduate program in 2025 which involved working in the Competition and Consumer Law, Asset Management and Investment Funds, and Environment and Planning groups. During this time, Dorothy gained experience advising on matters for clients across various sectors including financial services, property, manufacturing and consumer goods.

Prior to joining K&L Gates, Dorothy gained legal experience in other law firms across different practice areas including emerging technology, artificial intelligence and fintech. Dorothy was also an economics graduate at the Victorian Government.

EDUCATION

- J.D., The University of New South Wales, 2024
- Bachelor of Commerce, University of Melbourne, 2020

ADMISSIONS

- Supreme Court of New South Wales

AREAS OF FOCUS

- Asset Management and Investment Funds

REPRESENTATIVE EXPERIENCE

- Advised AllianceBernstein on the launch of AB Global Strategic Core Equities Fund – Active ETF as an actively managed exchange-traded fund (ETF) on the Cboe exchange in Australia, which is now the second ETF AllianceBernstein has available for access on the Cboe exchange.
- Assisted a global leader in active, responsible investment management on the launch of its Australian global equity fund.