

Nicholas S. Hodge

Partner

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OVERVIEW

For more than thirty years Nicholas Hodge has concentrated his practice on hedge funds and other private investment vehicles. In that time he has advised many of the largest hedge fund and fund of funds groups in the country in regard to fund structure, advertising, private placement procedures, soft dollars, compliance policies and procedures, side letters, and a host of other issues. His experience extends to Opportunity Zone funds, real estate investment trusts and partnerships, timber funds, private equity funds, complex partnership reorganizations, and mergers and acquisitions. Nicholas represents numerous domestic and offshore hedge funds, ranging from startup funds to major fund complexes.

PROFESSIONAL BACKGROUND

Nicholas has been a partner at several law firms in Boston.

ACHIEVEMENTS

- Recognized by *Chambers Global* for Hedge Funds, USA-Nationwide, 2024-2026
- Recognized by *Chambers USA* for Hedge Funds, USA-Nationwide, 2021-2026
- Recognized by *The Legal 500 United States* as a Recommended Lawyer for Alternative/hedge funds, 2024
- Selected to Massachusetts Super Lawyers 2004, 2009 - 2018
- BTI Client Service All-Star 2010

PROFESSIONAL / CIVIC ACTIVITIES

- American Bar Association, chair of the Subcommittee on Partnerships, Trusts and Unincorporated Associations of the Committee on Federal Regulation of Securities 1992-1994
- Angel Flight Northeast (Clerk and Pro Bono Legal Counsel)

From 1996 to 2011, Nicholas provided pro bono legal services to create and maintain Angel Flight Northeast, a non-profit organization that provides free air transportation by volunteer pilots in private aircraft for medical patients and their families. He was honored by Angel Flight Northeast at the 2006 Evening of Angels for his service to the company.

SPEAKING ENGAGEMENTS

Nicholas has spoken in more than a dozen cities on hedge funds. Nicholas's presentations include:

- Speaker, “How to Grow or Launch Your Fund or Asset Management Firm—in Challenging Times,” Webinar, June 23, 2020
- Moderator, “Discussion on Opportunity Zones,” Boston, April 4, 2019
- Speaker, “2018 Update: Regulation D Offerings and Private Placements,” Clear Law Institute, Webinar, September 27, 2018
- Speaker, “Latest Hedge Fund Developments and Practical Compliance Advice,”
- Chicago, September 9, 2014
  New York, September 16, 2014
  Boston, September 30, 2014
- Speaker, London Hedge Fund Summit, London, April 29, 2014
- Speaker, "Next Generation Funding--the New Crowdfunding Landscape," KPMG, New York, May 16, 2013

EDUCATION

- J.D., Yale Law School, 1981
- B.A., University of Pennsylvania, 1976 *(summa cum laude)*

ADMISSIONS

- Bar of Massachusetts
- United States Court of Appeals for the First Circuit
- United States District Court for the District of Massachusetts

THOUGHT LEADERSHIP *POWERED BY HUB*

- 3 February 2016, Changes to the Annual Privacy Notice Delivery Requirement

OTHER PUBLICATIONS

- "Hedge Funds: A Practical Global Handbook to the Law and Regulation," *Globe Law and Business Ltd*, Second Edition, August 2019
- "India-Mauritius Protocol Seeks to Close Tax Loopholes," *The Investment Lawyer*, 2016
- Investment Management Update, K&L Gates publication, Fall 2009
- Investment Management Update, K&L Gates publication, Summer 2007

In addition to the listed publications, Nicholas authored chapters on hedge fund regulation and marketing for the third edition of Evaluating and Implementing Hedge Fund Strategies, edited by Ronald A. Lake and published by Euromoney Books.

NEWS & EVENTS

- 4 June 2026, K&L Gates Receives Nearly 235 Firm, Individual Rankings in 2026 *Chambers USA* Guide
- 12 February 2026, *Chambers Global* 2026 Guide Ranks K&L Gates Lawyers, Practices
- 5 June 2025, K&L Gates Receives More Than 240 Firm, Individual Rankings in 2025 *Chambers USA* Guide
- 13 February 2025, *Chambers Global* 2025 Guide Ranks K&L Gates Lawyers, Practices
- 6 June 2024, K&L Gates Receives More Than 200 Firm, Individual Rankings in 2024 *Chambers USA* Guide
- 15 February 2024, *Chambers Global* 2024 Guide Ranks K&L Gates Lawyers, Practices
- 1 June 2023, K&L Gates Receives Firm, Individual Rankings in 2023 *Chambers USA* Guide
- 16 February 2023, K&L Gates Recognized in 2023 *Chambers Global* Guide
- 1 June 2022, K&L Gates Receives Firm, Individual Rankings in 2022 *Chambers USA* Guide
- 17 February 2022, K&L Gates Recognized in 2022 Chambers Global Guide
- 21 May 2021, K&L Gates Asset Management and Investment Funds Practice, Lawyers Named Among Leaders in 2021 Chambers USA Guide
- 27 April 2021, Compelling Financial Services Marketing Techniques in Light of the New SEC Marketing Rule

AREAS OF FOCUS

- Asset Management and Investment Funds
- Broker-Dealers
- Corporate Governance
- Fintech Lending

- Hedge Funds
- Institutional Investors
- Investment Advisers
- Investment Funds
- Mergers and Acquisitions
- Private Equity Funds
- Real Estate Funds

INDUSTRIES

- Financial Services
- Fintech
- Private Equity