

Robert A. Wittie

Senior Of Counsel

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OVERVIEW

Bob Wittie is a senior of counsel to the firm and is a former chair of the firm's legal opinions committee. As a member of the firm's finance and investment management practices, he has concentrated on securities-based financial transactions and the regulation of investment companies and other financial institutions. He has represented financial services clients in connection with a wide range of matters, including secured credit, swaps, repurchase agreements and other derivatives transactions, securities lending, regulatory compliance, documentation issues, electronic commerce, and legislative matters.

PROFESSIONAL BACKGROUND

Bob is a fellow and former regent of the American College of Commercial Finance Lawyers and a member of the ABA and TriBar opinion committees. He has written and lectured extensively on a variety of legal topics and has served as an advisor to the Uniform Law Commission's special drafting committees for revisions to Article 8 (Investment Securities) and Article 9 (Secured Transactions) of the Uniform Commercial Code, the Uniform Electronic Transactions Act, and several other Uniform State Laws. He also actively participated in the development of the federal E-SIGN legislation. He previously served as chair of the UCC-Investment Securities Subcommittee of the American Bar Association's Business Section, and he remains active on that and other ABA committees.

ACHIEVEMENTS

- Recognized by *The Best Lawyers in America*® for Mutual Funds Law in Washington, DC, 2012-2027

PROFESSIONAL / CIVIC ACTIVITIES

- Fellow, American College of Commercial Finance Lawyers
- American Bar Association
  - Uniform Commercial Code Committee

  - Former-chair, UCC-Investment Securities Subcommittee
  - Commercial Financial Services Committee
  - Legal Opinions Committee
  - Federal Regulation of Securities Committee
- Fellow, American Bar Foundation
- TriBar Opinion Committee
- District of Columbia Bar Association

EDUCATION

- J.D., Harvard Law School, 1970
- B.A., University of Michigan, 1967

ADMISSIONS

- Bar of District of Columbia
- Supreme Court of the United States
- United States Court of Appeals for the District of Columbia Circuit
- United States District Court for the District of Columbia

THOUGHT LEADERSHIP *POWERED BY HUB*

- 5 July 2016, Special Resolution Regimes and the ISDA Resolution Stay Jurisdictional Modular Protocol
- 29 December 2015, SEC Proposes New Limits on Funds' Use of Derivatives
- 9 September 2015, AIMA Webinar: Resolution Stay Protocol

OTHER PUBLICATIONS

- "Federal Reserve Proposes Rules Affecting Default Rights in Derivatives Contracts," *IAA newsletter*, July 2016
- Investment Management Update, K&L Gates publication, Summer 2007

AREAS OF FOCUS

- Asset Management and Investment Funds

- Broker-Dealers
- Corporate and Acquisition Finance
- Debt Capital Markets
- Derivatives and Commodities
- Investment Funds
- Portfolio Management, Trading, and Compliance
- US Registered Investment Companies