

# Dr. Philipp Riedl

## Partner

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## OVERVIEW

Dr. Philipp Riedl is a partner in the firm with a focus on investment management. He assists clients regarding the structuring and documentation of regulated investments for both retail and institutional investors. These investments comprise structured and other debt financial instruments, securitization solutions, and fund vehicles.

In addition, he advises on the issuing, distribution and marketing of financial instruments, as well as on ongoing legal and transactional issues related to the law of negotiable instruments and investment law.

Another key area is legal guidance on derivatives and repurchase agreements (repos), particularly where national and international master agreements apply. He also assists in creating complex documentation for OTC transactions (confirmation), including swaps.

Furthermore, he supports clients in the context of registration and licensing procedures as well as other administrative procedures and interventions in the context of financial market supervision.

## PROFESSIONAL BACKGROUND

Philipp joined the firm in July 2016. He has been working as a lawyer for several years and extended his knowledge of the industry while being seconded to the capital law legal departments of two leading major German banks. Previously, he was an associate at an international business law firm in the areas of banking and capital markets at its Munich office.

## PROFESSIONAL / CIVIC ACTIVITIES

- Specialist Lawyer for Tax Law (*Fachanwalt für Steuerrecht*), 2010

## SPEAKING ENGAGEMENTS

Philipp has been speaker at a number of client seminars and congresses, including Founders Forum, Frankfurt, April 2013 (on e-payment services).

## EDUCATION

- Dr. iur., University of Passau, 2011 *(thesis on European tax law (Die internationale Doppelbesteuerung im EU-Binnenmarkt))*
- Second Legal State Exam, Higher Regional Court of Regensburg, 2005
- First Legal State Exam, University of Regensburg, 2003

## LANGUAGES

- English
- German

## THOUGHT LEADERSHIP *POWERED BY HUB*

- 28 October 2026, Investment Management Client Alert October 2026
- 29 September 2026, Investment Management Client Alert September 2026
- 26 August 2026, Investment Management Client Alert August 2026
- 30 July 2026, Investment Management Client Alert July 2026
- 26 June 2026, Investment Management Client Alert June 2026
- June 2026, ESG and the Sustainable Economy Handbook
- June 2026 , Global Survey of ESG Regulations for Asset Managers
- 21 May 2026, Investment Management Client Alert May 2026
- 27 April 2026, Investment Management Client Alert April 2026
- 30 March 2026, Investment Management Client Alert March 2026
- 26 February 2026, Investment Management Client Alert February 2026
- 29 January 2026, Investment Management Client Alert January 2026
- 22 December 2025, Investment Management Client Alert December 2025
- 28 November 2025, Investment Management Client Alert November 2025
- 27 October 2025, Investment Management Client Alert October 2025
- 8 October 2025 , Europe: European Commission Delays "Non-Essential" Level 2 Measures Concerning AIFMD II and the UCITS Review
- 29 September 2025, Investment Management Client Alert September 2025

- 28 August 2025, Investment Management Client Alert August 2025
- 30 July 2025, Investment Management Update Germany July 2025
- 25 June 2025, Investment Management Client Alert June 2025
- 30 May 2025, Investment Management Client Alert May 2025
- 28 April 2025, Investment Management Update Germany–April 2025
- 14 May 2024, Europe: ESMA Publishes Guidelines on Fund Names Using ESG or Sustainability-Related Terms
- 13 March 2024, Europe: Why Are Firms Currently Focusing on Derivatives Post Trade Reporting?
- 8 February 2024, Europe: Agreement on EU ESG Ratings Regulation
- 05 February 2024, EUROPE: BaFin clarifies the German approach to the ELTIF 2.0 regime
- 21 March 2023, Europe: ELTIF 2.0 Has Been Published
- 3 March 2023, Europe: Is ELTIF 2.0 a More Viable Structure for Long-Term Investment in the EU?
- 31 May 2022, Europe: AIFMD II – Proposed Refinements to Loan Originating Fund Proposals
- 30 March 2022, Europe: Welcome New Clarity on the Phasing in of EU ESG Disclosure Requirements
- 24 June 2021, Fintech Forward: Payments Across the Globe Miniseries - Trends in Germany
- 27 March 2020, Reduction of Threshold for Notification of Net Short Positions in Shares Admitted to Trading
- 20 September 2017, Initial Coin Offerings (ICOs) under German law
- 26 May 2017, BaFin Restricts CFD Trading in Germany
- 15 November 2016, Reforming the Financial Markets in Germany—Update on the Implementation of MiFID2
- 10 August 2016, Retail Distribution of Credit Linked Notes to Be Banned in Germany

## OTHER PUBLICATIONS

- Discussion of decision by district court of Hamburg: Obligation of user to update prospectuses on an ongoing basis (*Entscheidungsbesprechung*: LG Hamburg: Permanente *Aktualisierungspflicht von Prospekten durch Verwender* (*16.3.2018 – 330 O 591/15*)), *Recht der Finanzinstrumente (RdF)*, 2018, edition 4
- Commentary on German Investment Law (*Frankfurter Kommentar zum Kapitalanlagerecht*), *Moritz/Klebeck/Jesch*, §§ 2, 3, 282 and 284 KAGB, June 2016
- Impact of the Amended Transparency Directive on Position Reporting for Holdings in German Shares, *Financier Worldwide*, January 2016

- Outsourcing Rules a Challenge for German Managers of Real Estate Funds with Cross-Border Investments, *risk & compliance*, October–December 2015
- Transparency Requirements for Long and Short Positions in Germany – Pull Out your Calculator, *risk & compliance*, April–June 2015
- The Latest European Ruling on Short Sales (*Die neue europaweite Regelung von Leerverkäufen), Recht der Finanzinstrumente (RdF*), 2012, edition 3
- Private Debt Investments – Supervisory Aspects for Credit Investments by Non-Banks (*Private Debt Investments – aufsichtsrechtliche Aspekte für Kreditinvestitionen durch Nichtbanken*), *AbsolutReport* edition 05/2012
- Regarding Loss Participation by Reduction of the Profit Participation Capital and Suspension of the Yearly Interest Payment of Bank Participation Certificates (*Zur Verlustteilnahme durch Verminderung des Genusskapitals und Aussetzung der jährlichen Zinszahlung bei Bankgenussschein*), *EWiR* 2011, edition 18

## NEWS & EVENTS

- 16 December 2025, K&L Gates Advises Therme Horizon on Acquisition of Wund-Thermen
- 26 June 2025, K&L Gates Advises Therme Group on Billion Euro Joint Venture With CVC to Establish Therme Horizon
- 19 December 2023, K&L Gates Advises Balderton Capital on Investment in Scalable Capital
- 7 February 2023, K&L Gates Advises Real I.S. Australia on Sale of Australian Taxation Office Building in Canberra
- 2 March 2018, K&L Gates Names 34 New Partners Across Global Platform
- 15 July 2016, K&L Gates Opens Munich Office

## AREAS OF FOCUS

- Asset Management and Investment Funds
- Derivatives and Commodities
- Global Distribution
- Global Financial Services Regulation
- Investment Funds
- Portfolio Management, Trading, and Compliance

## INDUSTRIES

- Financial Services
- Fintech
- Private Credit

## REPRESENTATIVE EXPERIENCE

- Set-up of hotel real estate fund with initial subscriptions of €52 million; core fund documentation drafted in German