

Fatima S. Sulaiman

Partner

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OVERVIEW

Fatima Sulaiman provides legal advice to investment companies, their independent directors, and investment advisers on transactional, regulatory, and compliance matters.

PROFESSIONAL / CIVIC ACTIVITIES

- American Bar Association
- District of Columbia Bar Association

SPEAKING ENGAGEMENTS

- “Derivatives Risk Management Programs for Liquid Alts Strategies,” October 25, 2021, *AIMA*
- Speaker, Mutual Fund Directors Forum “Swing Pricing: Board Responsibilities and Operational Considerations,” via webinar, June 22, 2017

EDUCATION

- J.D., Villanova University School of Law, 1996
- B.A., Mary Washington College, 1993 *(cum laude)*

ADMISSIONS

- Bar of District of Columbia

THOUGHT LEADERSHIP *POWERED BY HUB*

- October 2025, 2025 Asset Management and Investment Funds Fall Conference—Washington, DC
- February 2025, Asset Management Regulatory Year in Review 2024

- 11 September 2024, Checked Swing—SEC Does Not Adopt "Swing Pricing" or a "Hard Close" for Now, but Only Provides Liquidity Guidance and Amendments to Forms N-PORT and N-CEN
- 2 November 2023, Asset Management and Investment Funds Fall Conferences - Washington, D.C.
- 21 June 2022, Registered Funds and the New Names Rule Proposals: What You Need to Know and Why You Need to Comment
- 26 May 2022 , A Fund By Any Other Name: SEC Proposes Names Rule Amendments
- 23 November 2020, What Boards Need to Know About the SEC's New Derivatives Rule
- 12 November 2020, Goodbye to All That: SEC Adopts Rules Overhauling Funds' Use of Derivatives; Ends Asset Segregation
- 29 October 2020, Unraveling the SEC's Fund of Funds Rule
- 26 October 2020, SEC Adopts New Rule 12d1-4 Overhauling Fund of Funds Arrangements--Are You Ready?
- 1 November 2018, SEC Staff No-Action Letter Eases Board's Burden in Reviewing Affiliated Transactions
- 25 July 2018, SEC Amends Liquidity Rule Reporting and Disclosure Requirements
- 10 April 2018, SEC Proposes Rule Changes to Public Liquidity Risk Management Disclosures
- 10 April 2018, SEC Delays Certain Liquidity Rule Requirements and Issues Guidance Regarding Illiquid Security Determinations
- 10 April 2018, Division of Investment Management Issues Second Round of FAQs on Liquidity Risk Management Programs
- 25 January 2018, Staff Issues FAQs Regarding Liquidity Risk Management Programs
- 11 November 2016, Time to Get With the (Liquidity Risk) Program: SEC Issues Liquidity Risk Management Rule for Open-End Funds
- 11 October 2016, SEC Proposes New BCP Rule and Issues Guidance
- 29 December 2015, SEC Proposes New Limits on Funds' Use of Derivatives
- 22 October 2015, U.S. SEC Proposes Liquidity Risk Management Programs, Optional "Swing Pricing," and Liquidity Reporting for Mutual Funds and Certain ETFs
- 25 June 2015, SEC Proposes New Reporting Requirements for Registered Funds

OTHER PUBLICATIONS

- "Derivatives Risk Management: Adapting to the New SEC Rule," *Alternative Investment Management Association*, October 2021

NEWS & EVENTS

- 14 October 2026, Asset Management and Investment Funds Conference Series—Washington, DC
- 2 November 2022, 2022 K&L Gates Asset Management and Investment Funds Conference — Washington, D.C.
- 20 October 2022, 2022 K&L Gates Asset Management and Investment Funds Conference — New York
- 25 October 2021, Derivatives Risk Management Programs for Liquid Alts Strategies
- 23 March 2021, Navigating the SEC's New Derivatives Rule
- 28 October - 19 November 2020, Unraveling the SEC's Fund of Funds Rule Series
- 9 March 2017, ETF Think Tank®
- 9 March 2017, ETF Think Tank® (Webinar)

OTHER MEDIA MENTIONS

- An MFDF.com (Mutual Fund Directors Forum) article notes Boston partner Kenneth Holston and Washington, D.C., partner Fatima Sulaiman hosted a webinar that aired in early January 2021.

AREAS OF FOCUS

- Asset Management and Investment Funds
- Derivatives and Commodities
- Exchange-Traded Products
- Investment Advisers
- Investment Funds
- Portfolio Management, Trading, and Compliance
- US Registered Investment Companies