

Jennifer R. Gonzalez

Partner

Washington, DC
+1.202.778.9286

jennifer.gonzalez@klgates.com

OVERVIEW

Jennifer Gonzalez concentrates her practice in the investment management area, representing open-end and closed-end investment companies, investment company boards, and investment advisers in transactional and regulatory matters. She counsels clients on the formation, reorganization, and termination of open-end and closed-end investment companies, obtaining exemptive relief and no-action relief, proxy solicitations, compliance matters, business development company (BDC) matters, and day-to-day regulatory issues. Jennifer has extensive experience representing closed-end investment companies in offerings of common and preferred stock, establishing and refinancing various forms of leverage, issues relating to activist shareholders, proxy solicitations, tender offers, exchange matters, and other regulatory issues. In addition, she advises clients on corporate governance, proxy issues and shareholder proposals, ESG matters, and state blue sky issues.

PROFESSIONAL / CIVIC ACTIVITIES

- American Bar Association
- Massachusetts Bar Association

SPEAKING ENGAGEMENTS

- "Closed-End Fund Activism and Defenses", Investment Company Institute's 2022 Closed-End Fund Conference, 17 November 2022
- "Proxy Solicitations", ICI Closed-End Investment Committee Meeting, 26 April 2022
- "What's Happening in Different Strokes: Trends in Specialized Products", Investment Company Institute's 2022 Investment Management Conference, 28 March 2022
- "What if Closed-End Fund Defenses Fail? Preparing for an Activist Board Member," Mutual Funds Director Forum, 21 January 2021
- "ICI Closed-End Fund Conference/Closed-End Fund Activism – Perspectives on Common Shareholder Proposals," Investment Company Institute, 5 November 2020

- "Lending for Growth Private Credit Workshop," Alternative Credit Counsel, 6 June 2017

EDUCATION

- J.D., Yale Law School, 1995
- A.B., Harvard University, 1991 *(Economics and Womens Studies)*

ADMISSIONS

- Bar of District of Columbia
- Bar of Massachusetts

THOUGHT LEADERSHIP *POWERED BY HUB*

- 22 September 2026, SEC Proposes to Modernize the Proxy Solicitation Rules
- 11 June 2026, United States: Supreme Court Scissors up Saba's Rescission Argument Under Section 47(b) of the 1940 Act
- 28 April 2026, United States: What a Relief! Sec Staff Extends Co-Investment Orders to Open-End Funds and Allows Delegation to Board Committee
- February 18, 2026, US Asset Management Regulatory Year in Review 2025
- 6 October 2025, United States: Giddy-Yup: SEC Approves Y'all Street's Hometown Exchange
- 8 May 2025, SEC Policy Shift and Recent Corp Fin Updates–Part 3
- 18 February 2025, United States: SEC Issues New Guidance on Schedule 13G Eligibility
- November 2024, Asset Management and Investment Funds Fall Conference—Washington, D.C.
- 18 January 2024, US Asset Management Regulatory Year in Review 2023
- 11 October 2023, SEC Adopts Amendments to Beneficial Ownership Reporting
- 30 June 2023, United States: Tag, You (Maryland Closed-End Funds) Are It
- 18 November 2020, Fund of Funds Rule Adoption—A Deeper Dive Into the Impact of Rule 12d1-4 on Closed-End Funds and Business Development Companies
- 29 October 2020, Unraveling the SEC's Fund of Funds Rule
- 26 October 2020, SEC Adopts New Rule 12d1-4 Overhauling Fund of Funds Arrangements--Are You Ready?
- 29 May 2020, SEC Staff Shows New Openness to Closed-End Fund Defenses

- 10 April 2020, Closed-End Fund and BDC Offering, Filing and Disclosure Changes: Some Benefits, Some Burdens
- 26 September 2019, SEC Issues New Guidance for Investment Advisers on Proxy Voting
- 15 April 2019, SEC Staff Issues Statement on the Review of Rule 485(a) Filings
- 29 March 2019, Offering Reforms or Burdensome Regulations? It Depends!
- 24 April 2018, Fiduciary Standard Reform - The SEC Enters the Ring
- 15 April 2018, Fiduciary Rule Reform – SEC Developments
- 8 February 2018, Past, Present and Future of the DOL Fiduciary Rule

OTHER PUBLICATIONS

- "Where Do We Go from “Here”? The SEC's Renewed Focus on Investment Adviser Proxy Voting," *The Investment Lawyer*, February 2026
- "Could Charitable or Financial Incentives Improve Fund Shareholder Participation in the Proxy Process?," *The Investment Lawyer*, Vol. 26, No. 8, August 2019

NEWS & EVENTS

- 8 October 2026, Flash Webinar| Unscripted Discussion: How the SEC Is Proposing to Modernize Investment Management
- 15 June 2026, K&L Gates Successfully Represents Closed-End Funds in US Supreme Court Case on Investment Company Act
- 2 November 2022, 2022 K&L Gates Asset Management and Investment Funds Conference — Washington, D.C.
- 28 October - 19 November 2020, Unraveling the SEC's Fund of Funds Rule Series

OTHER MEDIA MENTIONS

Mentioned, “Industry Trends Refresh: ESG, Crypto, SPACs, Closed-End Fund Activism,” *MFDF.org (Mutual Fund Directors Forum)*, 18 January 2022

AREAS OF FOCUS

- Asset Management and Investment Funds
- Corporate Governance
- Exchange-Traded Products

- Investment Advisers
- US Registered Investment Companies