

J. Matthew Mangan

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OVERVIEW

Matthew Mangan is a partner in the firm's Asset Management and Investment Funds practice. He counsels investment advisers, private fund managers and broker-dealers on a variety of fund formation, product structuring, transactional, regulatory and compliance, and securities law matters. He regularly works with clients to structure and document US and offshore private investment funds and assists clients with a variety of state and federal regulatory and compliance issues. He also represents government plans and other institutional investors in connection with their investments in hedge funds, private equity, venture capital and real estate funds. He also counsels clients on complex seeding arrangements, corporate structure, and entity formation issues.

Matthew also represents individuals and entities on securities enforcement matters involving the SEC, FINRA, and other regulatory authorities, and has substantial experience conducting internal investigations in matters relating to securities regulation and compliance.

Matthew regularly assists clients with state and federal registration issues and the application of various exemptions.

PROFESSIONAL BACKGROUND

Prior to joining K&L Gates, Matthew practiced general corporate and securities transactions, with an emphasis on small and mid-sized entrepreneurial businesses, start-up and high-technology businesses, and real estate related transactional matters.

Prior to attending law school, Matthew passed the Certified Public Accountant's examination. He also served as a judicial extern to Judge Thomas E. Carlson of the U.S. Bankruptcy Court for the Northern District of California.

ACHIEVEMENTS

- Recognized by *The Legal 500 United States* as a Recommended Lawyer
  - Alternative/Hedge Funds, 2024-2026
  - Private Equity Funds (Including Venture Capital), 2026

- Recognized by *The Best Lawyers in America*® for Private Funds/Hedge Funds Law in San Francisco, CA, 2023-2027
- Recognized by *Lexology Index* as a Recommended Lawyer for Private Funds, 2025
- Selected as a leading legal figure in the *Who's Who Legal: Private Funds 2023 Edition*
- American Jurisprudence Awards for Torts and Criminal Procedure

PROFESSIONAL / CIVIC ACTIVITIES

- Bar Association of San Francisco

SPEAKING ENGAGEMENTS

Matthew is a frequent speaker and panelist on subjects related to the investment management industry. His recent presentation topics include:

- The Dodd-Frank Act
- Regulatory initiatives regarding hedge funds, private equity funds and their managers
- SEC examination and enforcement initiatives

EDUCATION

- J.D., University of San Francisco School of Law, 1992 *(cum laude)*
- B.S., Santa Clara University, 1988 *(Accounting)*

ADMISSIONS

- Bar of California
- United States Court of Appeals for the Ninth Circuit
- United States District Court for the Eastern District of California
- United States District Court for the Northern District of California
- United States District Court for the Southern District of California

THOUGHT LEADERSHIP *POWERED BY HUB*

- 4 May 2026, United States: Private Equity Sunshine Act (SB 1319)
- November 2024, Asset Management and Investment Funds Fall Conference—Seattle

- November 2024, Asset Management and Investment Funds Fall Conference—San Francisco
- 27 February 2024, The SEC's New Private Fund Adviser Rules: A Guide to Compliance
- 15 November 2023, Asset Management and Investment Funds Fall Conferences - Seattle
- 11 November 2023, Asset Management and Investment Funds Fall Conferences - San Francisco
- 5 October 2023, Private Fund Adviser Rules Webinar
- 24 August 2023, The SEC's New Rules for Private Fund Advisers: A Dose of Transparency for the Private Markets
- 29 March 2023, SEC Proposes Custody Rule Overhaul With Broad Implications for Investment Advisers, Custodians, and Independent Public Accounting Firms

NEWS & EVENTS

- 14 September 2026, K&L Gates Expands Corporate and Intellectual Property Capabilities With Six-Partner Team Across California and Texas
- 8 June 2026, Strategic ETF Partner Hire Strengthens K&L Gates' Asset Management and Investment Funds Practice
- 4 December 2025, 2025 Asset Management and Investment Funds Fall Conference—West Coast
- 14 November 2024, K&L Gates Advises Intudo on Closings of Intudo Ventures IV, LP and Natural Resources Fund at US$125 Million
- 18 March 2024, K&L Gates Deepens Life Sciences, Technology Transactional Experience With California Partner Additions
- 13 February 2023, K&L Gates Expands Litigation Practice With Addition of San Francisco Partner
- 11 - 12 January 2023, Family Office Forum, Hosted by IVYFON
- 17 November 2022, 2022 K&L Gates Asset Management and Investment Funds Conference — Seattle
- 16 November 2022, 2022 K&L Gates Asset Management and Investment Funds Conference — San Francisco
- 24 August 2022, K&L Gates Bolsters FDA, Food and Beverage Industry Capabilities With San Francisco Partner Addition
- 18 August 2022, More Than 350 K&L Gates Lawyers Named Among 2023 Best Lawyers in America, Ones to Watch
- 13 July 2020, K&L Gates Asset Management and Investment Funds Practice Ranked in Three Categories, with 17 Lawyers Recommended, in The Legal 500 United States 2020 Guide

AREAS OF FOCUS

- Asset Management and Investment Funds
- Corporate Governance
- Emerging Managers, Seed Investors, and GP Stakes
- Fintech Lending
- Hedge Funds
- Institutional Investors
- Investment Advisers
- Investment Funds
- Mergers and Acquisitions
- Private Equity Funds
- Real Estate Funds
- White Collar Defense and Investigations

INDUSTRIES

- Technology

EMERGING ISSUES

- Artificial Intelligence (AI)