

Andrew J. Massey

Partner

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OVERVIEW

Andrew Massey is a partner in the firm's Financial Services industry group and Asset Management and Investment Funds practice. He provides advice on financial services law and regulation to a diverse range of financial services institutions including asset managers, banking groups, financial intermediaries and platforms, and institutional investors. He advises on the financial services regulatory perimeter, and legal and regulatory requirements—when businesses need to be regulated, how businesses become regulated, and what businesses need to do to comply with financial services regulation.

Andrew's practice also covers regulated investment funds. He acts for managers of UK authorised funds on a wide range of matters, including new fund launches, changes to existing funds, fund documentation and other disclosures, agreements with service providers, distribution arrangements, and regulatory compliance.

In addition, Andrew advises asset managers and other financial services providers wishing to access the UK market. This includes advising on the regulatory perimeter, the UK's overseas funds regime, the AIFMD national private placement regime, and the financial promotion regime.

Andrew is ranked by *The Legal 500 United Kingdom* for Retail Funds and Financial Services: Non-Contentious/Regulatory and *Chambers UK* for Financial Services: Non-Contentious Regulatory.

Andrew has served on the firm's Audit Committee since 2022.

PROFESSIONAL BACKGROUND

Prior to joining the firm in 2014, Andrew was a senior associate in the London office of a European law firm where he worked for over ten years.

Andrew was seconded to a prominent UK-based asset management company between 2009 and 2010 where he advised on legal and compliance issues.

ACHIEVEMENTS

- Recognised by *Chambers UK* for Financial Services: Non-Contentious Regulatory, UK-wide, 2026-2027

- Recognised by *The Legal 500 United Kingdom* in London
  - Next Generation Partner for Retail Funds, 2017-2027
  - Recommended Lawyer for Financial Services: Non-Contentious/Regulatory, 2024-2027

SPEAKING ENGAGEMENTS

- Investment Company Institute (ICI) Mutual Funds Conference, Panel on International Regulatory Developments, San Diego, 2025
- HFM European Legal Summit, Panel on Anti-Greenwashing, 2024
- K&L Gates seminar, SDR v. SFDR - How to Navigate the Interplay Between These Two Regimes, May 2024
- K&L Gates Investment Management Conference, London, annually from 2015 to 2023
- Global Distribution Update, The Investment Association, April 2021
- C&I Fund Management Seminar, annually from 2006 to 2014
- Aon Risk Solutions Financial Services Conference, London, May 2016
- The Rise of Alternative UCITS, K&L Gates presentation, London, February 2015

EDUCATION

- Legal Practice Course, Oxford Institute of Legal Practice, 2004
- B.A., University of Oxford, 2003 *(Honours)*

ADMISSIONS

- Solicitor of the Senior Courts of England and Wales

THOUGHT LEADERSHIP *POWERED BY HUB*

- 4 September 2026, UK Retail Product Disclosure Regime for Consumer Composite Investments: From UCITS KIIDs and PRIIPs KIDs to CCI Product Summaries
- September 2026, UK Crypto Regulation: Key Things to Know
- June 2026 , Global Survey of ESG Regulations for Asset Managers
- June 2026, ESG and the Sustainable Economy Handbook
- 30 April 2026, Cryptoasset Regulation Coming to the United Kingdom: What You Need to Know
- 12 December 2025, Europe: UK Reform of Short Selling Regime–FCA Consultation

- 1 December 2025, Europe: UK FCA Looks to Accelerate Fund Tokenisation and Direct Dealing
- 13 August 2025, Europe: UK FCA Plans to Streamline UK Sustainability Reporting
- 4 August 2025, Europe: UK Consultations on Reducing the Burden of the FCA Senior Managers Regime
- 15 July 2025, The FCA's Developing Approach to Nonfinancial Misconduct by Individuals in the Financial Services Sector
- 28 February 2025, Europe: FCA Advances Efforts to Address the UK's EU Legislative Legacy, Starting With MIFID
- 15 January 2025, Europe: Proposed UK and EU Rules on More Research Cost Re-Bundling Move Closer
- 31 July 2024, Digital Operational Resilience in the Financial Services Sector: EU and UK Update
- 19 July 2024, Europe: FCA Issues Final UK Overseas Funds Regime Rules
- 17 May 2024, Europe: UK FCA's Anti-Greenwashing Rule and Guidance Come Into Force on 31 May 2024
- 23 April 2024, Europe: Research Cost Re-Bundling – Is the UK Going Back to the Future?
- 2 February 2024, Europe: UK's Overseas Funds Regime Moves a Step Closer with Confirmation that Most EEA UCITS Will be Deemed Equivalent
- 1 December 2023, Europe: Final SDR Rules Published by FCA–Time to Label Your Funds (Maybe)
- 3 February 2023, Europe: UK Regulator Issues New Recommendations to Firms on Consumer Duty Implementation
- 1 February 2023, Europe: Asset Managers—Are You Ready for Climate-Related Reporting Under UK TCFD?
- 9 May 2022, FCA Consults on Permitting Side Pockets for UK Retail Funds Affected by Conflict in Ukraine
- 9 May 2022, FCA Challenge to UK Fund Service Providers
- 29 March 2022, Europe: Divergence Between UK and EU Priips Disclosures Set to Add Complexity for Managers
- 7 February 2022 , Proposed Money Market Fund Reforms Related to Negative Interest Rates Favor Conversion to Floating NAV and Prohibit Other Stabilizing Measures
- 28 April 2021, European Commission Proposes New Corporate Sustainability Reporting Legislation
- 1 October 2019, ESMA Releases Final Report on Liquidity Stress Testing for UCITS and AIFs
- 5 September 2019, New Rules on Cross-Border Distribution of Investment Funds in the EU
- 7 June 2019, Shareholder Rights Directive II and its Implications for Asset Managers
- 23 January 2019, First step in European Commission Review of Alternative Investment Funds Rules
- 22 June 2018, Are Digital Asset Transactions Always Securities Offerings?

- 16 May 2018, Commissioner Peirce Remarks on the Challenges of Cryptocurrency Regulation
- 13 December 2017, Materials from the 2017 London Investment Management Conference
- 11 October 2017, PRIIPs KID – The New Disclosure Document for Manufacturers and Distributors of Funds, Structured Securities and Other Packaged Investment Products
- 27 July 2017, UK Asset Management Study - FCA's Final Report and Package of Remedies
- 21 July 2017, MiFID II Toolkit for Global Investment Managers
- 28 March 2017, European Commission Opens Investigation into Suspected Price Restrictions by Electronic Goods Producers
- 1 December 2015, Preparing for MiFID II: Practical Implications
- 23 October 2015, ESMA Consults on Guidelines on Sound Remuneration Policies under the UCITS V Directive and AIFMD
- 13 October 2015, UCITS V Directive—Overview and Practical Implications

OTHER PUBLICATIONS

- Panoramic: Fund Management (United Kingdom), *Lexology*, July 2026
- "Aon Risk Solutions Hosts 'Mega Trends' Discussion Panel with Industry Leaders," *The Risk Universe*, June 2016

NEWS & EVENTS

- 15 October 2026, K&L Gates Practices, Lawyers Recognised in *Chambers UK* 2027 Guide
- 30 September 2026, K&L Gates Practices, Lawyers Recognised in *The Legal 500 United Kingdom* 2027 Edition
- 6 May 2026, Private Funds Conference 2026
- 2 February 2026, Nearly 50 K&L Gates Lawyers Recognized on *Thomson Reuters* Stand-out Lawyers List
- 16 October 2025, K&L Gates Practices, Lawyers Recognised in *Chambers UK* 2026 Guide
- 1 October 2025, K&L Gates Practices, Lawyers Recognised in *The Legal 500 United Kingdom* 2026 Edition
- 23 May 2024, SDR v. SFDR - How to Navigate the Interplay Between These Two Regimes
- 13 November 2023, Asset Management and Investment Funds Fall Conferences — London
- 4 October 2023, K&L Gates Practices, Lawyers Recognized in *The Legal 500 UK* 2024 Edition
- 3 October 2022, 2022 K&L Gates Asset Management and Investment Funds Conference — London

- 30 September 2022, K&L Gates Ranked in 30 Categories in *The Legal 500 UK* 2023 Edition
- 6 October 2021, K&L Gates Ranked in Nearly 30 Categories in the 2022 Legal 500 UK Guide
- 6 October 2021, 2021 Investment Management Conference

AREAS OF FOCUS

- Asset Management and Investment Funds
- Corporate Governance
- European Regulatory
- Financial Services Policy
- Global Distribution
- Global Financial Services Regulation
- Hedge Funds
- Institutional Investors
- Investment Advisers
- Investment Funds
- Portfolio Management, Trading, and Compliance
- UCITS

INDUSTRIES

- Financial Services
- Fintech
- Private Credit